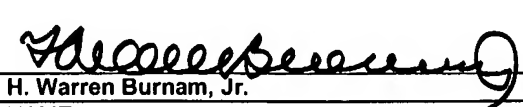


|   |  |  |
|---|--|--|
| PTO-1390  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE<br><b>Best Available Copy</b>    | <div style="float: right; text-align: left;"> <b>18 MAR 2008</b><br/> <b>900-562</b> </div> IAP07Reg'd PCT         |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |  | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br><br><div style="text-align: center;"><b>10/593,204</b></div> |
| INTERNATIONAL APPLICATION NO.<br><div style="text-align: center;"><b>PCT/JP2005/004658</b></div>  | INTERNATIONAL FILING DATE<br><div style="text-align: center;"><b>16 March 2005</b></div> | PRIORITY DATE CLAIMED<br><div style="text-align: center;"><b>18 March 2004</b></div>                               |
| TITLE OF INVENTION<br><div style="text-align: center;"><b>ORGANIC SILANE COMPOUND, PROCESS FOR PRODUCING THE SAME AND USE OF THE SAME</b></div>   |  |  |
| APPLICANT(S) FOR DO/EO/US<br><div style="text-align: center;"><b>NAKAGAWA et al</b></div>   |  |  |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The U.S. has been elected (Article 31).</li> <li>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (        pages specification, claims &amp; abstract (        claims),        sheets drawings).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (175 pages specification, claims &amp; abstract (33 claims), 6 sheets drawings,        page Certificate of Translation).</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. a. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).             <ol style="list-style-type: none"> <li>b. <input type="checkbox"/> Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (        page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).</li> </ol> </li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11 To 20 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</li> <li>13. a. <input type="checkbox"/> A FIRST preliminary amendment.</li> <li>      b. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 C.F.R. § 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information. Notice of Missing Requirements</li> </ol> |  |  |

|   |                          |   |         |  |                           |
|---|--------------------------|---|---------|--|---------------------------|
| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)<br><b>10/593,204</b>   |                          | INTERNATIONAL APPLICATION NO.<br><b>PCT/JP2005/004658</b>                     |         | ATTORNEY'S DOCKET NUMBER<br><b>900-562</b> |                           |
| <input checked="" type="checkbox"/> The following fees are submitted:   |                          |   |         |  |                           |
| <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b>   |                          |   |         |  |                           |
| 21. <input type="checkbox"/>  | Basic national fee ..... |   |         | \$310.00 (1631)/\$155.00 (2631)            | \$                        |
| 22. <input type="checkbox"/>  | Examination Fee .....    |   |         | \$0 (1643/2643)                            | \$                        |
| 23. <input type="checkbox"/>  | Search Fee .....         |   |         | \$0 (1640/2640)                            | \$                        |
|   |                          |   |         | \$100 (1641)/\$50 (2641)                   | \$                        |
|   |                          |   |         | \$410 (1642)/\$205 (2642)                  | \$                        |
|   |                          |   |         | \$510.00 (1632)/\$255.00 (2632)            | \$                        |
| <b>TOTAL OF ABOVE CALCULATIONS</b>  |                          |   |         |  | \$ 0.00                   |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$260 for each additional 50 sheets of paper or fraction thereof.  |                          |   |         |  |                           |
| Total Sheets  | Extra Sheets             | Number of each additional 50 or fraction thereof (round up to a whole number) |         | RATE                                       |                           |
| 181 -100  | 81 /50 =                 | 1.62  |         | \$520.00 (1681)<br>\$260.00 (2681)         | \$ 520.00                 |
| Surcharge of \$130.00 (1617)/\$65.00 (2617) for furnishing the oath or declaration later than <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).  |                          |   |         |  |                           |
| CLAIMS  |                          | NUMBER FILED  | # EXTRA | RATE                                       |                           |
| Total Claims  | 33                       | minus 20 =  | 13 X    | \$50.00 (1615)/                            | \$25.00 (2615)            |
| Independent Claims  | 7                        | minus 3 =   | 4 X     | \$210.00 (1614)                            | \$105.00 (2614)           |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable)  |                          |   |         | \$370.00 (1616)/\$185.00 (2616)            |                           |
| Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$460.00 (1252)/\$230.00 (2252); Three Month Extensions \$1050.00 (1253)/\$525.00 (2253); Four Month Extensions \$1640.00 (1254)/\$820.00 (2254)  |                          |   |         | \$ 0.00                                    |                           |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.   |                          |   |         |  |                           |
| Processing fee of \$130.00 (1618), for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).   |                          |   |         |  |                           |
| <b>TOTAL NATIONAL FEE =</b>   |                          |   |         |  | \$ 2010.00                |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property  |                          |   |         |  | \$ 40.00                  |
| Fee for Petition to Revive Unintentionally Abandoned Application; \$1540.00 (1453) / \$770.00 (2453)  |                          |   |         |  | \$ 0.00                   |
| <b>TOTAL FEES ENCLOSED =</b>  |                          |   |         |  | \$ 2050.00                |
|   |                          |   |         |  | Amount to be refunded: \$ |
| U.S. Application No. 10/593,204; Atty Docket No. 900-562  |                          |   |         |  | Amount to be Charged: \$  |
| a. <input type="checkbox"/> A check in the amount of \$2050.00 to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.<br>d. <input checked="" type="checkbox"/> <b>CREDIT CARD PAYMENT FORM ATTACHED.</b><br>e. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/JP2005/004658 and any U.S. and foreign application(s) corresponding thereto, and JP 2004-079056, JP 2004-079062, JP 2004-080333, JP 2004-080375 and JP 2004-243508, referred to in this application is/are hereby incorporated by reference in this application.<br><b>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status.</b><br><b>CORRESPONDENCE ADDRESS</b><br>Direct all correspondence to:<br><input checked="" type="checkbox"/> Customer Number: <span style="border: 1px solid black; padding: 2px;">23117</span> Type Customer Number here<br>03. 19/2008 GFREY1 00000091 10593204<br>01 FC:1681 520.00 OP<br>02 Telephone: (703) 816-4000 650.00 OP<br>03 FAX: 816-4000 840.00 OP |                          |   |         |  |                           |
| <br>H. Warren Burnam, Jr.<br>NAME<br>29,366 March 18, 2008<br>REGISTRATION NUMBER Date  |                          |   |         |  |                           |

HWB



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|   |                                   |   |                                |                             |
|---|-----------------------------------|---|--------------------------------|-----------------------------|
| U.S. APPLICATION NUMBER NO.<br>10/593,204   | FIRST NAMED APPLICANT<br>Nakagawa | ATTY. DOCKET NO.<br>900-562   |                                |                             |
| 23117<br>NIXON & VANDERHYE, PC<br>901 NORTH GLEBE ROAD, 11TH FLOOR, SUITE 1100<br>ARLINGTON, VA 22203 |                                   | INTERNATIONAL APPLICATION NO.<br>PCT/JP05/04658   |                                |                             |
|   |                                   | <table border="1"> <tr> <td>I.A. FILING DATE<br/>03/16/2005</td> <td>PRIORITY DATE<br/>03/18/2004</td> </tr> </table> | I.A. FILING DATE<br>03/16/2005 | PRIORITY DATE<br>03/18/2004 |
| I.A. FILING DATE<br>03/16/2005  | PRIORITY DATE<br>03/18/2004       |   |                                |                             |

MATTER # 900-562  
 DATE 2/28/08  
Apr 28, 2008  
 DEADLINE Sep 28, 2008  
 FILED BY RA/L

CONFIRMATION NO. 9361  
371 FORMALITIES LETTER



Date Mailed: 02/28/2008

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- Priority Document
- Copy of the International Application filed on 09/18/2006
- Copy of the International Search Report filed on 09/18/2006
- Preliminary Amendments filed on 09/18/2006
- Information Disclosure Statements filed on 09/18/2006
- Request for Immediate Examination filed on 09/18/2006
- U.S. Basic National Fees filed on 09/18/2006
- Non-English Language Application filed on 09/18/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

CHARITTA A SHELTON

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Telephone: (703) 308-9140 EXT 207